

Searching for ultra- and hyper- luminous X-ray sources in the *Swift*-XRT catalog

Supervisor: Dr. Olivier GODET
April-September 2020

Clément Pellouin

M2 Internship Presentation
IRAP

September 30th, 2020

Contents

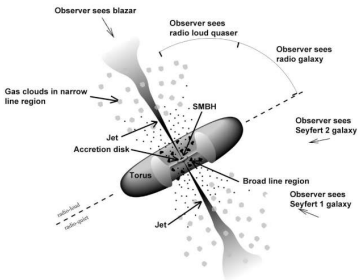
- 1 Introduction
 - Formation paths of Supermassive Black Holes (SMBHs)
 - ULXs & HLXs
 - Data mining in multi-wavelength catalogs
- 2 ULX/HLX candidates selection
 - The ULX/HLX sample
 - ULX/HLX sample cleaning
- 3 HLX candidate in NGC 5917
 - X-ray data analysis
 - MUSE data analysis
- 4 Conclusion

Introduction

SMBHs

Characteristics

- $10^6 M_{\odot} \lesssim M_{SMBH} \lesssim 10^{10} M_{\odot}$ Kormendy et al. 1995
- At the center of most galaxies with $M_{gal} \gtrsim 10^9 M_{\odot}$ Kormendy & Ho 2013
- Can have phases of enhanced activity ($10^7 - 10^8$ years): Active Galactic Nuclei (AGNs) Hong et al. 2015
- During AGN phase, huge release of energy through radiation ($L_X \sim 10^{38} - 10^{48} \text{ erg} \cdot \text{s}^{-1}$) and kinetic energy (outflows: jets & winds)



Unified model of AGNs Credit: Fermi & NASA

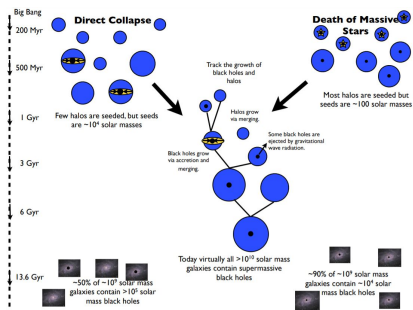
How to grow an SMBH?

Observations

- $8 \times 10^8 M_{\odot}$ SMBH at $z = 7.5$ Banados et al. 2018
- $2 \times 10^9 M_{\odot}$ SMBH in a quasar at $z = 7.1$ Mortlock et al. 2011
- Masses up to $6.6 \times 10^{10} M_{\odot}$ Shemmer et al. 2004

SMBH growth scenarios

- Hierarchical growth by successive intermediate-mass BH mergers Farouki et al 1983
 $100 M_{\odot} \lesssim M_{IMBH} \lesssim 10^5 M_{\odot}$ Miller & Colbert 2004
- Sustained accretion episodes at high accretion rates



Greene 2012

Eddington limit

- Gravitational attraction on protons:

$$F_{grav} = \frac{m_p GM}{r^2}$$

- Radiation pressure on the electrons (Thomson scattering):

$$F_{rad} = \frac{\sigma_T}{c} \frac{L}{4\pi r^2}$$

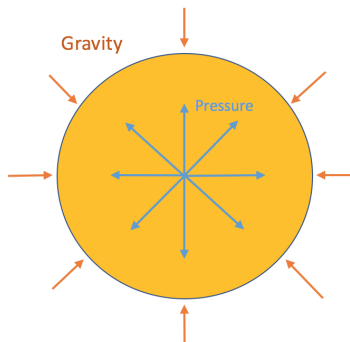
- Eddington luminosity Eddington 1921:

$$L_{Edd} = \frac{4\pi c G M m_p}{\sigma_T} \simeq$$

$$1.3 \times 10^{38} \left(\frac{M}{M_\odot} \right) \text{ erg} \cdot \text{s}^{-1}$$

- Corresponding to an accretion rate

$$\text{limit: } \dot{m}_{Edd} = \frac{4\pi GMm_p}{\eta c \sigma_T} \propto M$$



Gravitational attraction on the protons
balances outbound pressure on the
electrons

Eddington limit

- Gravitational attraction on protons:

$$F_{grav} = \frac{m_p GM}{r^2}$$

- Radiation pressure on the electrons (Thomson scattering):

$$F_{rad} = \frac{\sigma_T}{c} \frac{L}{4\pi r^2}$$

- Eddington luminosity Eddington 1921:

$$L_{Edd} = \frac{4\pi c G M m_p}{\sigma_T} \simeq$$

$$1.3 \times 10^{38} \left(\frac{M}{M_\odot} \right) \text{ erg} \cdot \text{s}^{-1}$$

- Corresponding to an accretion rate

$$\text{limit: } \dot{m}_{Edd} = \frac{4\pi GMm_p}{\eta c \sigma_T} \propto M$$

Consequences

- Eddington limit directly proportional to the accretor mass
- If $L \geq L_{Edd}$, accretion may stop
- Impossible to grow a SMBH at sub-Eddington rates at high redshifts

Looking for super-Eddington accretion and IMBHs

Two directions of research

- Potential episodes of super-Eddington accretion
- SMBH growth by IMBH mergers

Open questions

- Is super-Eddington accretion possible?
- Are Eddington rates sufficient to grow a SMBH given the outflows?
- How long can accretion last?
- What are the feedback mechanisms?
- What impacts does this feedback induce on the BH environment at different spatial scales?

- How do IMBHs form? How do they grow?
- What are the hosts of IMBHs?

ULXs & HLXs

Definition Feng et al 2011

- Extragalactic off-nuclear X-ray source powered by accretion of matter
- ULX: Isotropic equivalent $L_X \geq 10^{39} \text{ erg} \cdot \text{s}^{-1}$ (0.3 – 10 keV)
- HLX: Isotropic equivalent $L_X \geq 10^{41} \text{ erg} \cdot \text{s}^{-1}$ (0.3 – 10 keV)

ULXs: super-Eddington accretion?

- bubbles observed around some ULXs (winds/radiation) Pakull & Mirioni 2002
- 6 persisting pulsating ULXs discovered with period spin-up Bachetti et al 2014: NS progenitors ($\sim 1.4 - 1.5 M_\odot$) with strongly super-Eddington accretion ($L_X \gg 10^{38} \text{ erg} \cdot \text{s}^{-1}$)

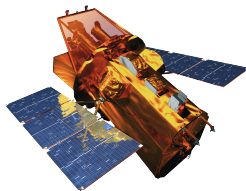
HLXs: accreting IMBHs?

- Very few candidates:
- HLX-1 Farrell et al 2009 has multi-wavelength properties similar to an X-ray binary (XRB), but 1000 times more luminous
- Tidal Disruption Event (TDE) Lin et al 2018

The Neil Gehrels *Swift* observatory

Characteristics Gehrels et al. 2004

- Multi-wavelength gamma-ray burst (GRB) observatory
- Carries 3 instruments:
 - BAT (Burst Alert Telescope, Barthelmy et al. 2005): GRB prompt emission detection at 15 – 150 keV
 - XRT (X-ray Telescope, Burrows et al. 2005): sky observation at 0.3 – 10 keV (GRB afterglows, X-ray source monitoring)
 - UVOT (Ultraviolet/Optical Telescope, Roming et al. 2005): 6 filters for a sensitivity at 160 – 600 nm
- Automatic sky localization and repositioning after a GRB detection



The *Swift* spacecraft model

Credit: NASA E/PO

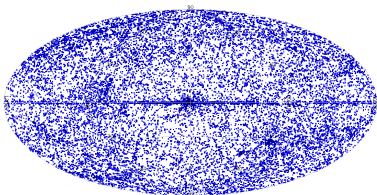
The 2SXPS catalog Evans et al 2020

Characteristics

- *Swift*-XRT observations between 2005-01-01 and 2018-08-31
- 206335 X-ray sources
- Sky coverage: 3790 deg²
- Up to 230 data columns per source (Position, Exposure, Flags, Count rates, Spectral/Flux information, Cross-correlations)

Assets

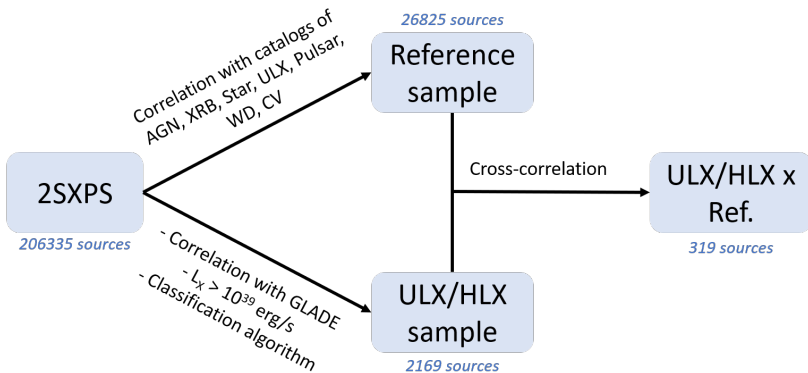
- High number of unknown sources (~ 90 % not observed by *XMM-Newton*)
- Large sky coverage
- Simultaneous UVOT observations
- Short- and long-term monitoring of sources (from ~ 1 s to ~ 10 years)
- Online tools available



Positions of the sources of 2SXPS in the Galactic coordinates

ULX/HLX candidates selection

Finding reference sources



Classifying the sources of the ULX/HLX sample

Classification algorithm

- Probabilistic classification based on the properties observed in the reference sample
- 2169 sources classified as AGNs (43%), XRBs (52%), Stars (3%), CVs (cataclysmic variables, 1%) Tranin, Pellouin et al, in prep

Using the ULX/HLX sample, two main objectives:

- Cleaning the ULX/HLX sample
- Analyzing the best ULX/HLX candidates

Classification analysis

Analysis of the classes distribution:

Class	Reference		ULX/HLX x Ref.		ULX/HLX (prediction)	
	Count	%	Count	%	Count	%
AGN	20799	77	134	42	943	43
Star	5181	19	19	6	74	3
XRB	475	2	165	52	1138	52
CV	370	1	1	0	14	1

Comparison of statistics on the classes of sources

Conclusions

- ULX/HLX definition non-physical, but many XRBs retrieved in the ULX/HLX sample
- Potentially high level of AGN contamination
- Contaminants are mostly background AGNs instead of foreground stars

Classification analysis

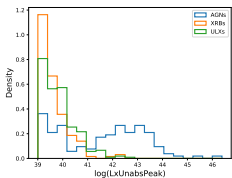
How to select the best ULX/HLX candidates among the 2169 sources?

Prediction	AGN	Star	XRB	CV
Literature				
AGN	132	1	2	0
Star	2	17	0	0
XRB	42	7	84	2
CV	0	0	1	0

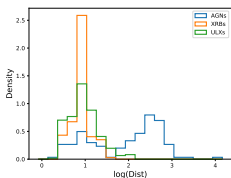
Confusion matrix of the classification source types

Focusing only on the sources classified as XRBs?

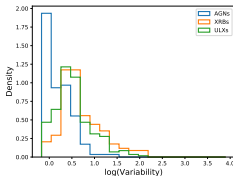
Identification of new selection parameters



Unabsorbed X-ray peak
luminosity



Distance

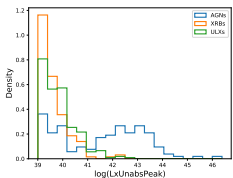


Variability

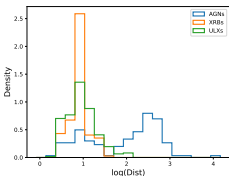
Parameter	Criterion (ULX)	Sources	%	Criterion (HLX)	Sources	%
L_X	$\leq 5 \times 10^{41} \text{ erg} \dots^{-1}$	1529	70	$\in [10^{41}, 10^{43}] \text{ erg} \dots^{-1}$	626	29
Variability	> 1	1817	84	> 1	1817	84
Distance	$\leq 100 \text{ Mpc}$	1438	66	$\leq 400 \text{ Mpc}$	1877	87
Combined		1221	56		415	19

Classification parameters

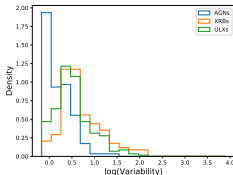
Identification of new selection parameters



Unabsorbed X-ray peak
luminosity



Distance



Variability

Objective = Finding interesting sources to study:

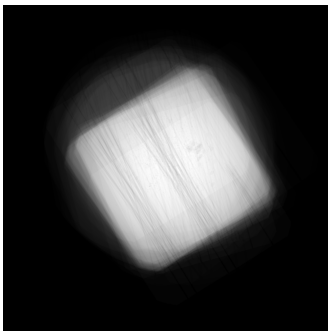
- Focus on sources that are in a MUSE cube (400/2169)
- Focus on sources with other multi-wavelength observations
- Focus on sources with *XMM-Newton* and/or *Chandra* observations

HLX candidate in NGC 5917

Swift-XRT raw data processing

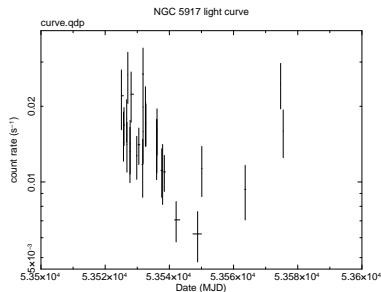
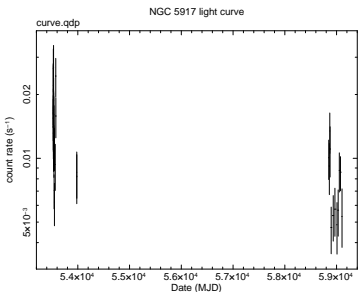
Preprocessing steps, using XSELECT

- Produce a clean, stacked event list (events = photons detections on the CCD)
- Produce an exposure map (dead pixels & columns, vignetting)
- Take into account the CCD temporal and spectral response to incoming photons
- Filter bad events



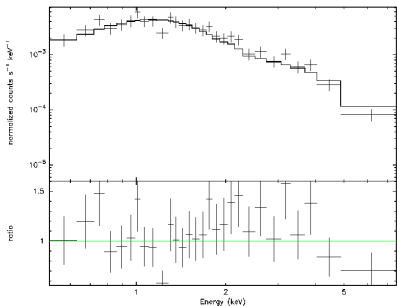
Exposure map for the *Swift*-XRT observations of NGC 5917

X-ray light curve



Left: Light curve showing the 2 series of observations observations of 2SXPS J152131.9-072242 taken between 2005-06-04 and 2020-08-28, in the 0.3 – 10 keV band. Every bin has a 20-count statistic. **Right:** Zoom on the light curve between 2005-06-04 and 2005-07-23.

X-ray spectral analysis



Swift-XRT Photon Counting spectrum of 2SXPS J152131.9-072242 (observations from 2005-06-04 to 2020-04-30). Minimum of 20 counts per bin. Errors at a 1σ confidence level. Solid line corresponds to the best fit using an absorbed power-law model.

Obtained using XSPEC Arnaud 1996

Parameter	Value \pm error (1σ)
N_H	$(2.0^{+0.9}_{-0.7}) \times 10^{21} \text{ cm}^{-2}$
Galactic N_H	$6.7 \times 10^{20} \text{ cm}^{-2}$
Γ	2.0 ± 0.2
Unabsorbed L_X (0.3 – 10 keV)	$(3.1 \pm 0.3) \times 10^{40} \text{ erg} \cdot \text{s}^{-1}$
Peak unabsorbed L_X	$8.8 \times 10^{40} \text{ erg} \cdot \text{s}^{-1}$
χ^2 / dof	32.82/29

MUSE data analysis

MUSE = Integral field Unit (IFU) taking data cubes (300×300 pixels, ~ 3500 -bins visible spectra from 4750 \AA to 9350 \AA) Bacon et al 2010

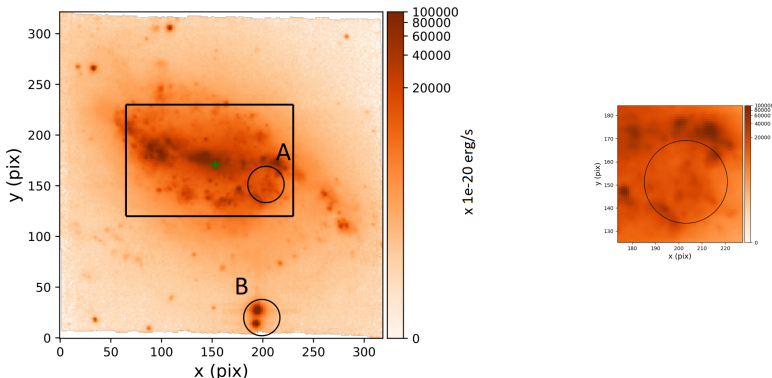
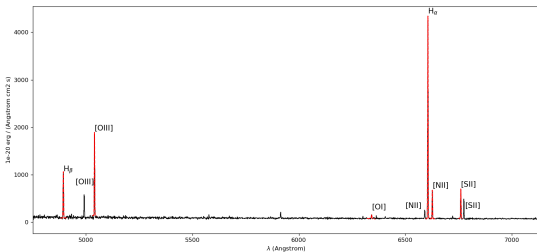


Image of NGC 5917 taken by MUSE on 2016-05-31 in the $6500 \text{ \AA} - 6624 \text{ \AA}$ band (centered on $H\alpha$).
Intensity in units of $10^{-20} \text{ erg} \cdot \text{s}^{-1} \cdot \text{cm}^{-2}$.
Spatial resolution: $0.5''$

Spectral fitting

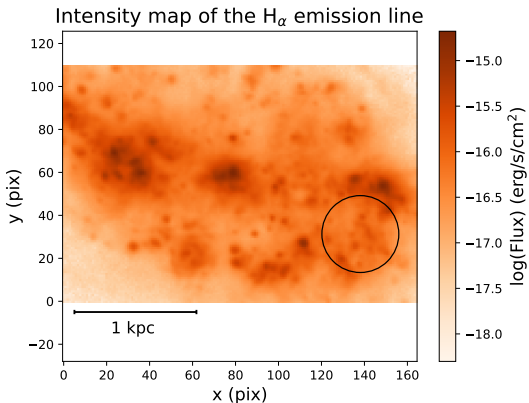
Fitting technique

- Based on the Python library `mpdaf`
- Emission lines fitted by gaussian profiles
- χ^2 minimization
- Output parameters: wavelength of the peak, peak value, FWHM, continuum, integrated flux under the gaussian, 1σ errors on these parameters



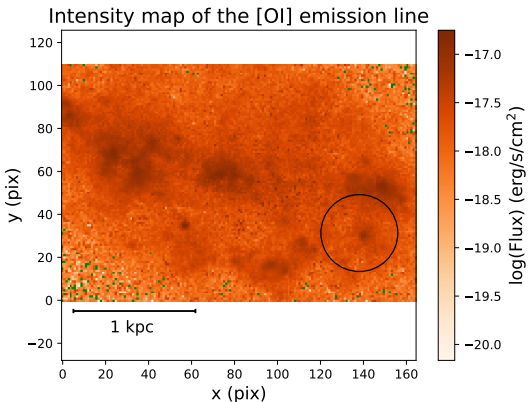
Spectrum of MUSE cube pixel located at the center of the *Swift*-XRT error circle

Spectral ray luminosity maps



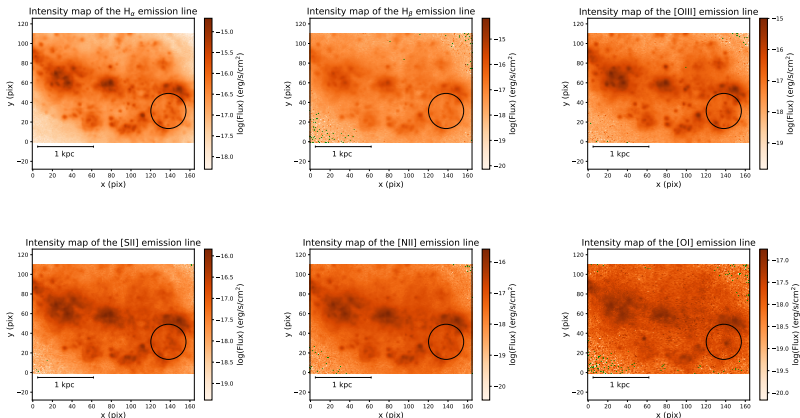
Integrated flux under the fitted gaussian on the H α emission line

Spectral ray luminosity maps



Integrated flux under the fitted gaussian on the [OI] emission line

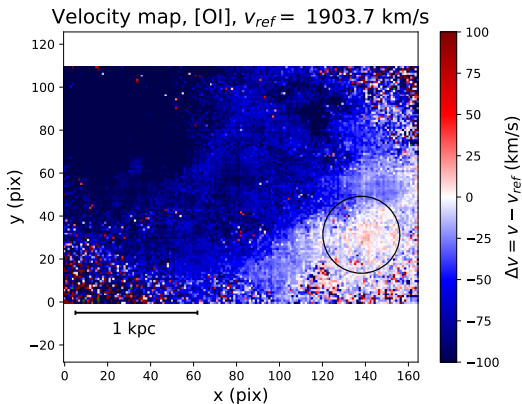
Spectral ray luminosity maps



Top row, left to right: H_α , H_β , [OIII]. Bottom row: [SII], [NII], [OI]

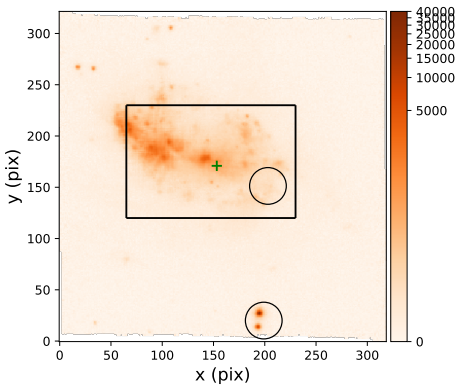
Velocity maps

$$\text{Emission line redshift velocity: } v = c \times \frac{\lambda_{\text{obs}} - \lambda_{\text{ref}}}{\lambda_{\text{ref}}}$$



Velocity map of NGC5917 from the [OI] emission line fitting. Each pixel color represents the relative velocity computed from the gaussian fit of the emission line.

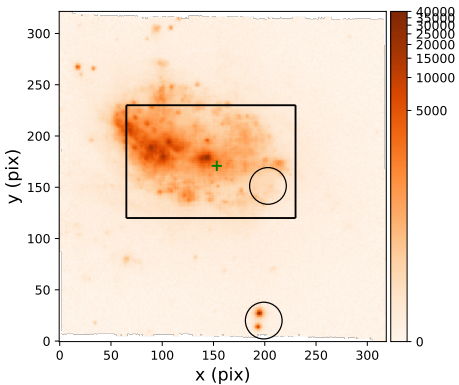
MUSE Images around $H\alpha$



NGC 5917 @6600 Å

$\times 10^{-20} \text{ erg} \cdot \text{s}^{-1}$

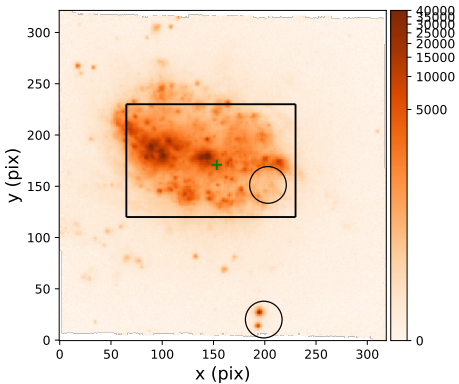
MUSE Images around $H\alpha$



$\times 10^{-20} \text{ erg} \cdot \text{s}^{-1}$

NGC 5917 @6601.25 Å

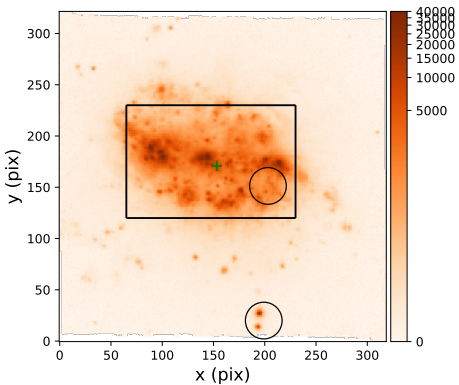
MUSE Images around $H\alpha$



$\times 10^{-20} \text{ erg} \cdot \text{s}^{-1}$

NGC 5917 @6602.5 Å

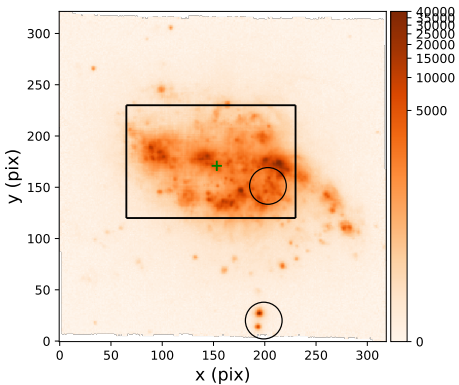
MUSE Images around $H\alpha$



$\times 10^{-20} \text{ erg} \cdot \text{s}^{-1}$

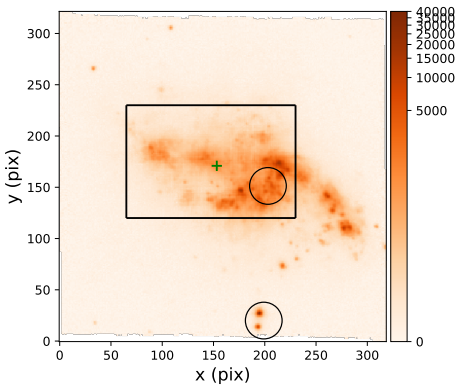
NGC 5917 @6603.75 \AA

MUSE Images around $H\alpha$



NGC 5917 @6605 Å

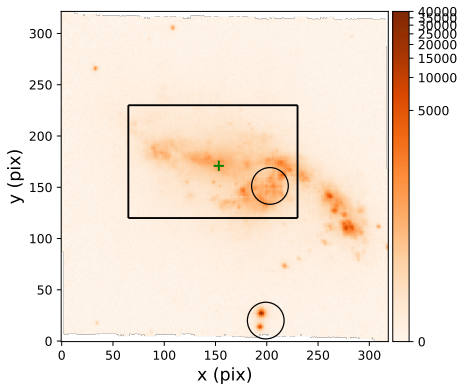
MUSE Images around $H\alpha$



$\times 10^{-20} \text{ erg} \cdot \text{s}^{-1}$

NGC 5917 @6606.25 \AA

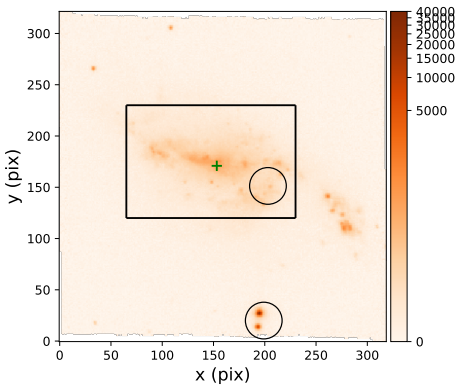
MUSE Images around $H\alpha$



$\times 10^{-20} \text{ erg} \cdot \text{s}^{-1}$

NGC 5917 @ 6607.5 \AA

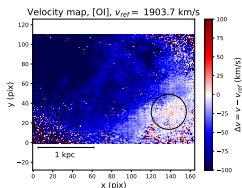
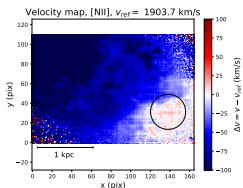
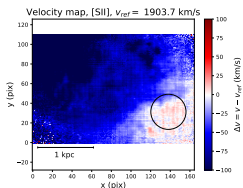
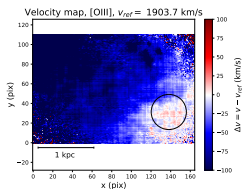
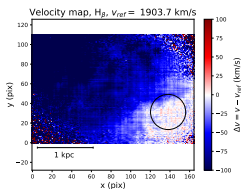
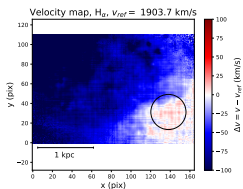
MUSE Images around $H\alpha$



$\times 10^{-20} \text{ erg} \cdot \text{s}^{-1}$

NGC 5917 @6608.75 \AA

Velocity maps



Top row, left to right: $H\alpha$, $H\beta$, [OIII]. Bottom row: [SII], [NII], [OI]

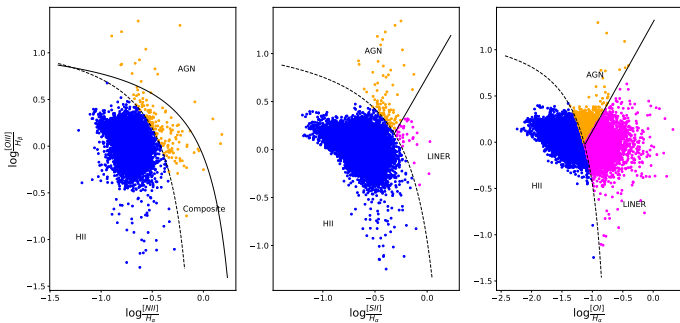
BPT Diagnostic

Characteristics

- Introduced by Baldwin, Phillips and Telervich Baldwin et al. 1981
- Originally proposed to diagnose AGNs, Low-ionization Nuclear Emission-line Regions (LINER), and HII ionization regions
- Now also used to probe the local gas ionization mechanism:
 - photo-ionization due to UV photons from young, hot stars;
 - photo-ionization from accretion activity;
 - shock ionization
- Uses 3 different line ratios plots:
 - $[\text{OIII}]/\text{H}\beta$ versus $[\text{NII}]/\text{H}\alpha$
 - $[\text{OIII}]/\text{H}\beta$ versus $[\text{SII}]/\text{H}\alpha$
 - $[\text{OIII}]/\text{H}\beta$ versus $[\text{OI}]/\text{H}\alpha$

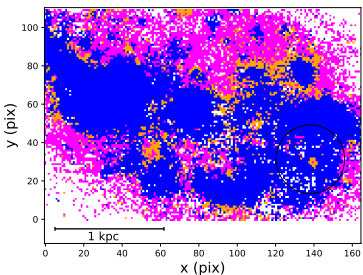
BPT Diagnostic

BPT diagnostic of NGC 5917

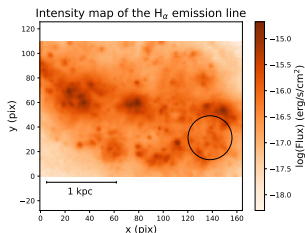


BPT diagnostics for different emission line ratios in NGC 5917

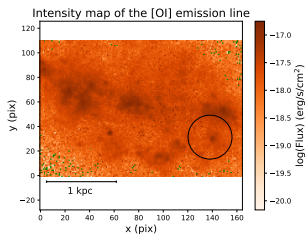
BPT Diagnostic



[OIII]/ $H\beta$ versus [OI]/ $H\alpha$ BPT diagnostic map



$H\alpha$ flux map



[OI] flux map

Conclusion

Conclusion

- Search for IMBHs and super-Eddington accretion
- X-ray catalogs correlated with multi-wavelength catalogs
- ULX/HLX candidates sample (2169 candidates)
- Tools to clean the sample (1221 candidates)
- Focus on sources with MUSE cubes (400/2169)
- 3 good candidates identified
- Multi-wavelength approach to study them

Analysis of the best candidates

In NGC 5917

- *Swift*-XRT X-ray observations:
 - Average unabsorbed luminosity $L_X = (3.1 \pm 0.3) \times 10^{40} \text{ erg} \cdot \text{s}^{-1}$
 - Flux variation by a factor ~ 4 between 2005 and 2020
- MUSE observations:
 - NGC 5917 rotating as a whole
 - An optical source showed a more intense [OI] line emission
 - BPT diagnostic showed gas ionization due to accretion in this region
- Probable association of the optical counterpart to the X-ray source

Other sources studied

- In NGC 3252
 - Source vanished between 2011 and 2020 (more than 40 times fainter)
 - *Swift*-UVOT counterpart found
- In NGC 3583
 - Source found using my selection method
 - HST observations show structures, likely star-forming, in the region of the source

Perspectives and continuations

Perspectives

- Study of the X-ray luminosity function
- Systematic study of the host galaxies properties (interacting, dwarf, spiral, star-forming, ...)
- Analysis of the reference sample contamination rates
- Determination of the fraction of background sources using MUSE cubes
- Using HST analyses for more sources

Perspectives and continuations

Proposals

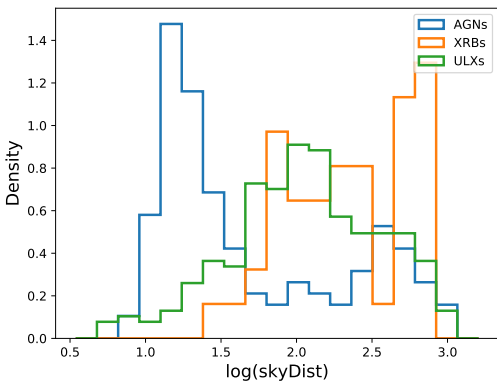
- *XMM-Newton* observation of NGC 3252 at the end of the year
- Possible observation of NGC 5917 and NGC 3583 by *Swift* in 2021-2022 (proposal submitted) and by *XMM-Newton* (proposal in prep Pellouin 2020)

Papers

- Presentation of the ULX/HLX candidates sample Godet, Pellouin, Tranin et al, in prep
- Classification of X-ray sources: an example with 2SXPS Tranin, ..., Pellouin et al, in prep.
- NGC 5917 HLX candidate discovery Pellouin et al, in prep.
- NGC 3252 analysis following *XMM-Newton* observations Tranin et al, in prep.
- NGC 3583 HLX candidate discovery Pellouin et al, in prep.

Thanks!

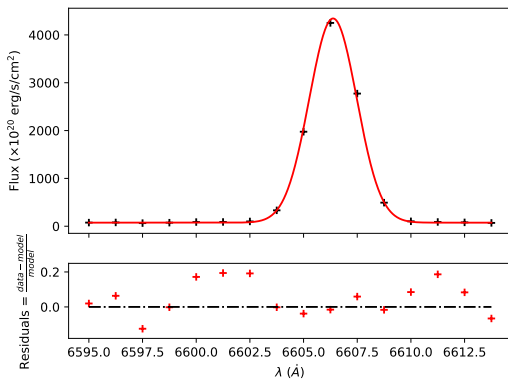
Spectral fitting



Distribution of the distances to the galactic center (in arcsec)

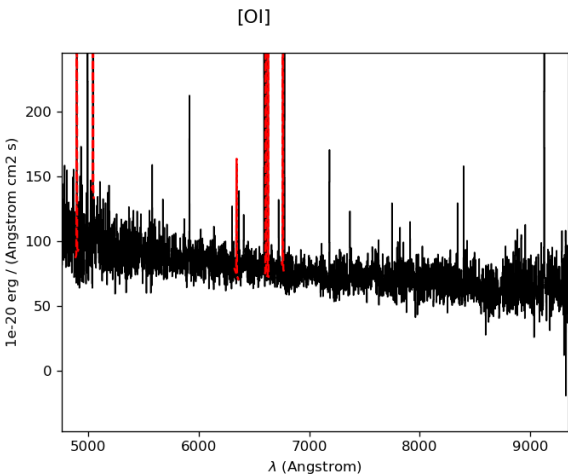
Spectral fitting

Gaussian fitting of $H\alpha$: $\lambda_{obs} = 6606.4 \text{ \AA}$



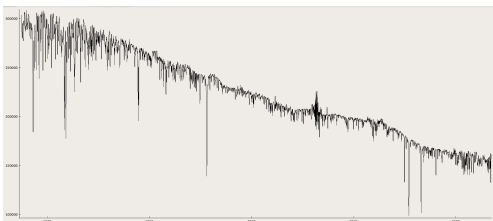
Zoom on the spectral fitting of the $H\alpha$ emission line

Spectral fitting

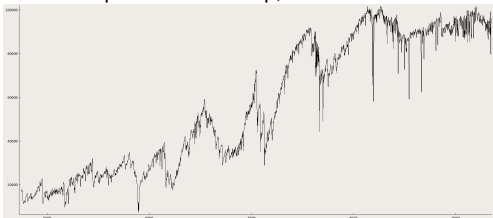


Zoom on the spectral continuum of the MUSE cube pixel located at the center of the *Swift*-XRT error circle

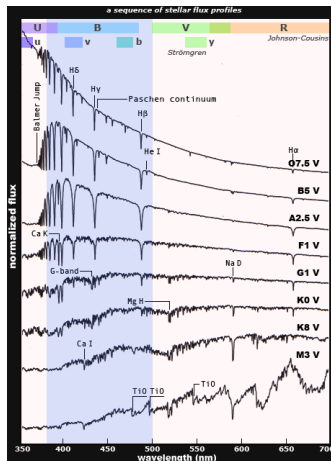
MUSE spectra of the foreground stars



Spectrum of the top, massive star



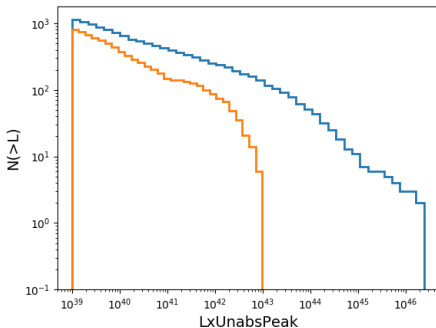
Spectrum of the bottom, low-mass type M star



Reference spectra

<https://www.handprint.com/ASTRO/specclass.html>

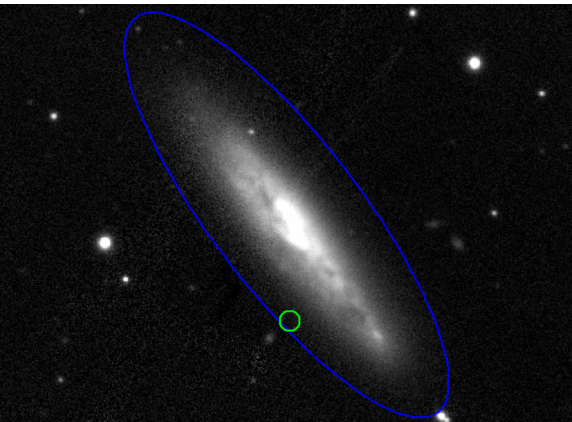
Luminosity Function



Luminosity function of the sources in the ULX/HLX catalog. Blue: total catalog.
Orange: Filtered catalog.

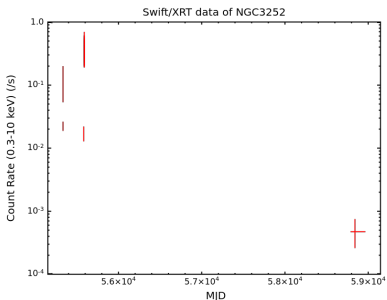
Swartz et al. 2011 showed that the differential ULX luminosity function shows a power law slope $\alpha \propto -1.2$ to -2.0 with an exponential cutoff at $\sim 2 \times 10^{40} \text{ erg} \cdot \text{s}^{-1}$

NGC 3252

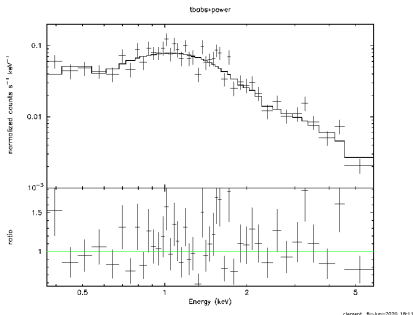


NGC 3252

NGC 3252



Light curve of 2SXPS J103423.1+734519, located in NGC 3252, in the 0.3 – 10 keV band.



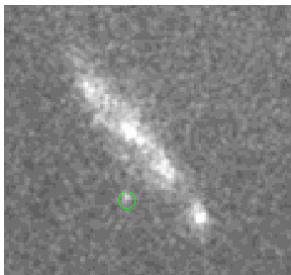
Spectrum of the source using the observations from 2010.

NGC 3252

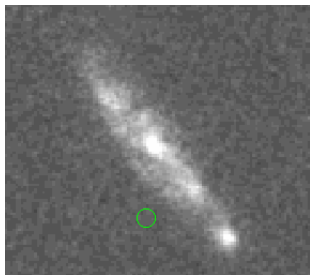
Parameter	Value \pm error (1σ)
N_H	$(1.2^{+0.5}_{-0.4}) \times 10^{21} \text{ cm}^{-2}$
Galactic N_H	$4.6 \times 10^{20} \text{ cm}^{-2}$
Γ	$2.23^{+0.18}_{-0.16}$
Unabsorbed L_X (0.3 – 10 keV)	$1.8 \times 10^{41} \text{ erg} \cdot \text{s}^{-1}$
Peak unabsorbed L_X	$7.1 \times 10^{41} \text{ erg} \cdot \text{s}^{-1}$
χ^2 / dof	48.5/42

Spectral fit parameters using data from 2010

NGC 3252



Swift-UVOT observation of 2SXPS
J103423.1+734519 from 2010-2011 in the
UVW2 filter.



Swift-UVOT observation from 2019 in the
UVW2 filter.

Filter	UVW2	UVM2	UVW1	U	B	V
λ (Å)	1928	2246	2600	3465	4392	5468
2010-2011	21.89 ± 0.29		20.52 ± 0.53	20.72 ± 0.16	19.63 ± 0.30	> 19.51
2019	> 22.58	> 21.70	> 21.52	> 22.91		

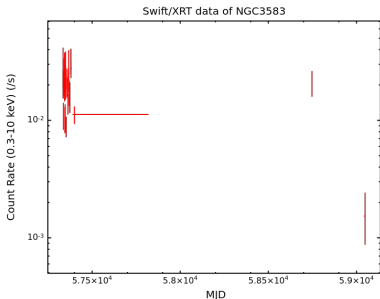
AB magnitudes of the optical counterpart of 2SXPS J103423.1+734519 in different
Swift-UVOT filters, using stacked observations from NGC 3252. Upper limits are
computed at 3σ .

NGC 3583

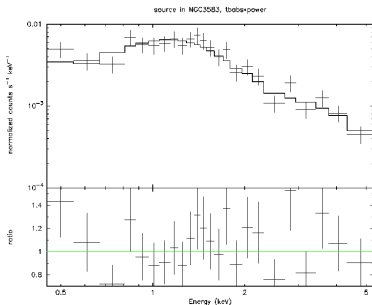


NGC 3583

NGC 3583



Light curve of 2SXPS J111416.1+481833, located in NGC 3583, in the 0.3 – 10 keV band.



Spectrum of the source using the observations from 2015 and 2020.

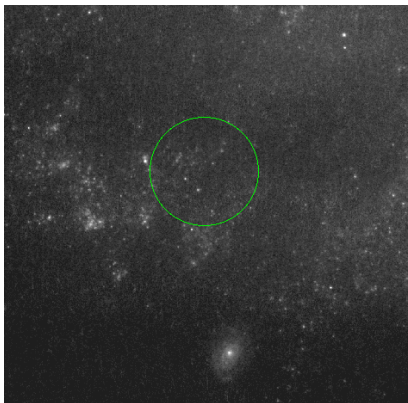
classmate 10-14-2020 11:05

NGC 3583

Parameter	Value \pm error (1σ)
N_H	$(1.4^{+0.9}_{-0.8}) \times 10^{21} \text{ cm}^{-2}$
Galactic N_H	$2.4 \times 10^{20} \text{ cm}^{-2}$
Γ	$1.76^{+0.28}_{-0.26}$
Unabsorbed L_X (0.3 – 10 keV)	$(4.4^{+0.5}_{-0.6}) \times 10^{41} \text{ erg} \cdot \text{s}^{-1}$
Peak unabsorbed L_X	$1.7 \times 10^{41} \text{ erg} \cdot \text{s}^{-1}$
χ^2 / dof	18.68/24

Spectral fit parameters using data from 2015 and 2020

NGC 3583



HST image of NGC 3583, in the F814W filter (IR at 8043 \AA), taken on 2018-05-14
(exposure time: 1.8 ks)